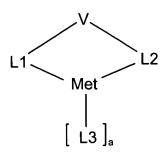
## **AMENDMENTS TO THE CLAIMS**

Docket No.: 14113-00003-US

# 1. (Original) Compounds of structure 1



Structure 1

characterised in that they contain a metal Met, coordinated to a tetradentate chelating ligand Lig of structure 2

where V is a bridging unit, characterised in that it contains 1 to 40 atoms from the third, fourth, fifth and/or sixth main group and connects the two ligand moieties L1 and L2, which may be identical or different on each occurrence, covalently to one another, and where the two ligand moieties L1 and L2 satisfy structure 3

Structure 3

where Cy1 and Cy2, identically or differently on each occurrence, correspond to a substituted or unsubstituted, saturated, unsaturated or aromatic homo- or heterocyclic ring, which is in each

case bonded ionically, covalently or coordinatively to the metal via a ring atom or via an atom bonded exocyclically to the homo- or heterocyclic ring;

Docket No.: 14113-00003-US

and where L3, identically or differently on each occurrence, is a mono- or bidentate, neutral or monoanionic ligand, and where a is 0, 1 or 2.

- 2. (Original) Compounds according to Claim 1, characterised in that they are electrically neutral.
- 3. (Currently amended) Compounds according to Claim 1 and  $\frac{1}{2}$ , characterised in that L1 = L2.
- 4. (Currently amended) Compounds according to one or more of Claims 1 to 3 claim 1, characterised in that the bridging unit V contains 1 to 6 atoms or is a 3- to 6-membered homo- or heterocyclic ring.
- 5. (Currently amended) Compounds according to one or more of Claims 1 to 4 claim 1, characterised in that the following applies to the linking unit V:
- V is BR¹, -(CR₂)R¹B(CR₂)-, -O-R¹B-O-, -O-(R¹O)B-O-, -CR₂O-R¹B-OCR₂-, -(CR₂CR₂)R¹B(CR₂CR₂)-, C=O, C=NR¹, C=S, CR₂, CR(OH), CR(OR¹), C(NR¹)₂, -(CR₂)R₂C(CR₂)-, -(CR₂CR₂)R₂C(CR₂CR₂)-, -(SiR₂)R₂C(SiR₂)-, -(SiR₂CR₂)R₂C(CR₂SiR₂)-, -(CR₂SiR₂)R₂C(SiR₂CR₂)-, -(SiR₂SiR₂)-, cis-RC=CR, 1,2-C₀H₄, 1,3-C₀H₄, SiR₂, Si(OH)₂, Si(OR¹)₂, -(CR₂SiR₂)-, -(CR₂CR₂)R₂Si(CR₂CR₂)-, -(SiR₂CR₂)R₂Si(SiR₂)-, -(SiR₂CR₂)R₂Si(CR₂CR₂)-, -(SiR₂SiR₂)-, -(SiR₂CR₂)R₂Si(SiR₂SiR₂)-, -(SiR₂CR₂)R₂Si(CR₂CR₂)-, -(SiR₂SiR₂)-, R¹N, -(CR₂)R¹N(CR₂)-, -(CR₂CR₂)R¹N(CR₂CR₂)-, FP, FPO, R¹P, R¹As, R¹Sb, R¹Bi, R¹PO, R¹AsO, R¹SbO, R¹BiO, R¹PSe, R¹AsSe, R¹SbSe, R¹BiSe, R¹PTe, R¹AsTe, R¹SbTe, R¹BiTe, -O-R¹PO-O-, -O-(R¹O)PO-O-, -CR₂O-R¹PO-OCR₂-, -OCR₂-R¹PO-CR₂O-, O, S, Se, -(CR₂)O(CR₂)-, -(CR₂)S(CR₂)-, -(CR₂)S(CR₂)-, -(CR₂)(O)S(CR₂)- or -(CR₂)(O)₂S(CR₂)- or corresponding asymmetrical analogues;
- R is, identically or differently on each occurrence, H, F, Cl, Br, I, NO<sub>2</sub>, CN, a straight-chain, branched or cyclic alkyl or alkoxy group having 1 to 20 C atoms, where one or more non-

adjacent CH<sub>2</sub> groups may be replaced by  $-R^1C=CR^1$ -,  $-C\equiv C$ -,  $Si(R^1)_2$ ,  $Ge(R^1)_2$ ,  $Sn(R^1)_2$ , C=O, C=S, C=Se, C=NR<sup>1</sup>, -O-, -S-, -NR<sup>1</sup>- or -CONR<sup>1</sup>- and where one or more H atoms may be replaced by F, or an aryl, aryloxy or heteroaryl group having 1 to 14 C atoms, which may be substituted by one or more non-aromatic radicals R, where a plurality of substituents R may in turn define a further mono- or polycyclic, aliphatic or aromatic ring system; and

Docket No.: 14113-00003-US

R<sup>1</sup>, R<sup>2</sup> are, identically or differently on each occurrence, H or an aliphatic or aromatic hydrocarbon radical having 1 to 20 C atoms.

6. (Currently amended) Metal complexes according to one or more of Claims 1 to 5 claim 5, selected from compounds (1) to (8), each of which may also carry one or two additional ligands L3

where R, R<sup>1</sup> and R<sup>2</sup> have the same meaning as described in Claim 5, and the other symbols and indices have the following meaning:

M is Be, Mg, Ca, Sr, Ba, Al, Ga, In, Tl, Sc, Y, La, Cr, Mo, W, Fe, Ru, Os, Co, Rh, Ir, Ni, Pd, Pt, Cu, Ag, Au, Zn, Cd or Hg;

- L is, identically or differently on each occurrence, C, N or P;
- Q is, identically or differently on each occurrence, N, O, S, Se or Te;
- T is, identically or differently on each occurrence, N or P;
- X is, identically or differently on each occurrence, CR, N or P;
- Y is, identically or differently on each occurrence, NR<sup>1</sup>, O, S, Se, Te, SO, SeO, TeO, SO<sub>2</sub>, SeO<sub>2</sub> or TeO<sub>2</sub>;
- Z has the same meaning as described for V in Claim 5;
- c is, identically or differently on each occurrence, 0 or 1.
- 7. (Currently amended) Metal complexes according to one or more of Claims 1 to 5 claim 5, selected from compounds (9) to (12), each of which may also carry one or two additional ligands L3

$$\begin{array}{c|c} X & Z & X & X \\ X & Y & X & X \\ X & X & X & X & X \end{array}$$

Compounds (9)

Docket No.: 14113-00003-US

Compounds (10)

where the symbols and indices M, L, Q, T, X, Y, Z, R,  $R^1$ ,  $R^2$  and e R,  $R^1$  and  $R^2$  have the same meanings as in claim 5 and Claims 5 and 6

Docket No.: 14113-00003-US

M is Be, Mg, Ca, Sr, Ba, Al, Ga, In, Tl, Sc, Y, La, Cr, Mo, W, Fe, Ru, Os, Co, Rh, Ir, Ni, Pd, Pt, Cu, Ag, Au, Zn, Cd or Hg;

- L is, identically or differently on each occurrence, C, N or P;
- Q is, identically or differently on each occurrence, N, O, S, Se or Te;
- T is, identically or differently on each occurrence, N or P;
- X is, identically or differently on each occurrence, CR, N or P;
- Y is, identically or differently on each occurrence, NR<sup>1</sup>, O, S, Se, Te, SO, SeO, TeO, SO<sub>2</sub>, SeO<sub>2</sub> or TeO<sub>2</sub>;
- Z has the same meaning as described for V in Claim 5;
- c is, identically or differently on each occurrence, 0 or 1.
- 8. (Currently amended) Metal complexes according to one or more of Claims 1 to 5\_claim 5, selected from compounds (13) to (30), each of which may also carry one or two additional ligands L3

8

Application No. National Phase of PCT/EP2004/011890 First Preliminary Amendment

Docket No.: 14113-00003-US

where the symbols and indices M, L, Q, T, X, Y, Z, R,  $R^4$ ,  $R^2$  and e R,  $R^1$  and  $R^2$  have the same meanings as in claim 5 and Claims 5 and 6

M is Be, Mg, Ca, Sr, Ba, Al, Ga, In, Tl, Sc, Y, La, Cr, Mo, W, Fe, Ru, Os, Co, Rh, Ir, Ni, Pd, Pt, Cu, Ag, Au, Zn, Cd or Hg;

- L is, identically or differently on each occurrence, C, N or P;
- Q is, identically or differently on each occurrence, N, O, S, Se or Te;
- T is, identically or differently on each occurrence, N or P;
- X is, identically or differently on each occurrence, CR, N or P;

Y is, identically or differently on each occurrence, NR<sup>1</sup>, O, S, Se, Te, SO, SeO, TeO, SO<sub>2</sub>, SeO<sub>2</sub> or TeO<sub>2</sub>;

9

- Z has the same meaning as described for V in Claim 5;
- c is, identically or differently on each occurrence, 0 or 1.

9. (Currently amended) Metal complexes according to one or more of Claims 1-to 8 claim 1, characterised in that ligand L3, if present, is a bidentate chelating ligand.

Docket No.: 14113-00003-US

10. (Original) Metal complexes according to Claim 9, characterised in that L3 is a monoanionic ligand which is identical to or different from ligand moieties L1 and L2 or in that L3 is a ligand of structure (4)

$$R^3$$
  $R^3$   $R^3$ 

Structure (4)

where  $R^3$ , identically or differently on each occurrence, represents H, a  $C_1$  to  $C_{20}$  alkyl group, a  $C_1$  to  $C_{20}$  alkoxy group, a  $C_4$  to  $C_{20}$  aryl or heteroaryl group or a  $C_4$  to  $C_{20}$  aryloxy or heteroaryloxy group, and one or more H atoms may be replaced by F.

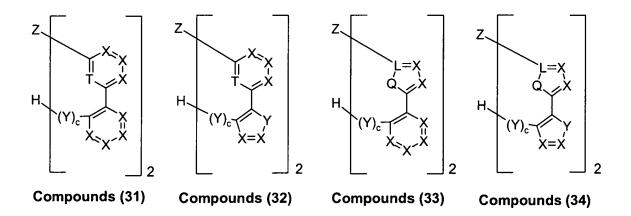
- 11. (Currently amended) Compounds according to one or more of Claims 1 to 10 claim 6, characterised in that the symbol M = Be, Mg, Pt or Zn, and the index a = 0.
- 12. Compounds according to Claim 11, characterised in that the symbol c = 0 and M = Pt.
- Compounds according to one or more of Claims 1 to 10 claim 6, characterised in that the symbol M = Rh or Ir, and the index a = 1 in the case of a bidentate monoanionic ligand L3 or a = 2 in the case of a monodentate monoanionic ligand L3.
- 14. (Currently amended) Compounds according to one or more of Claims 1 to 13 claim 6, characterised in that the symbol L = C or N.
- 15. (Currently amended) Compounds according to one or more of Claims 1 to 14 claim 1, characterised in that the symbol Q = O or S.

16. (Currently amended) Compounds according to one or more of Claims 1-to 15 claim 6, characterised in that the symbol T = N.

Docket No.: 14113-00003-US

- 17. (Currently amended) Compounds according to one or more of Claims 1 to 16-claim 6, characterised in that the symbol X = CR or N.
- 18. (Currently amended) Compounds according to one or more of Claims 1 to 17 claim 6, characterised in that the symbol  $Z = BR^1$ ,  $CR_2$ , CO,  $SiR^1_2$ ,  $R^1N$ , FP, FPO,  $R^1P$ ,  $R^1PO$ ,  $-CR_2CR_2$ -,  $-CR_2$ - $-CR_2$ -,  $-CR_2$ -,
- 19. (Currently amended) Compounds according to one or more of Claims 1 to 18-claim 5, characterised in that the symbol R = H, F, Cl, Br, I, CN, a straight-chain, branched or cyclic alkyl or alkoxy group having 1 to 6 C atoms or an aryl or heteroaryl group having 3 to 10 C atoms, which may be substituted by one or more non-aromatic radicals R, where a plurality of substituents R, both on the same ring and also on the two different rings, may together in turn define a further mono- or polycyclic ring system.

## 20. (Original) Compounds (31) to (60)



where the symbols and indices L, Q, T, X, Y, Z, R, R<sup>1</sup>, R<sup>2</sup> and c have the same meanings as in Claim 7, apart from the compounds bis(6-phenyl-2-pyridyl)methane, bis(6-phenyl-2-pyridyl) ketone, bis(6-(1-hydroxy-3,5-di-tert-butyl)phenyl-2-pyridyl)methanol, 2,2'-thiobis(3-cyano-2,4-diphenyl)pyridine, bis(6-(3-phenyl)phenyl-2-pyridyl)methane and isomers.

21. (Currently amended) Process A process for the preparation of the compounds according to one or more of Claims 1 to 19

## of structure 1

characterised in that they contain a metal Met, coordinated to a tetradentate chelating ligand Lig of structure 2

where V is a bridging unit, characterised in that it contains 1 to 40 atoms from the third, fourth, fifth and/or sixth main group and connects the two ligand moieties L1 and L2, which may be identical or different on each occurrence, covalently to one another, and where the two ligand moieties L1 and L2 satisfy structure 3

where Cy1 and Cy2, identically or differently on each occurrence, correspond to a substituted or unsubstituted, saturated, unsaturated or aromatic homo- or heterocyclic ring, which is in each case bonded ionically, covalently or coordinatively to the metal via a ring atom or via an atom bonded exocyclically to the homo- or heterocyclic ring;

and where L3, identically or differently on each occurrence, is a mono- or bidentate, neutral or monoanionic ligand, and where a is 0, 1 or 2,

which comprises reacting by reaction of compounds (31) to (60) according to Claim 20 with metal alkoxides of compound (61), with metal ketoketonates of compound (62), metal halides, carboxylates, nitrates and sulfates of compound (63) or alkyl- or arylmetal compounds of compound (64)

$$M(OR^{1})_{n} \qquad A_{q} - M \qquad O = \begin{pmatrix} R^{1} \\ O \\ R^{1} \end{pmatrix}_{n} \qquad MA_{n} \qquad (R^{1})_{2}ML'_{2}$$

I. Compounds (61) Compounds (62) Compounds (63) Compounds (64)

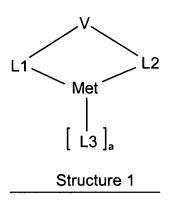
where the symbols M and R<sup>1</sup> have the meaning given in Claims 5 and 6,

M is Be, Mg, Ca, Sr, Ba, Al, Ga, In, Tl, Sc, Y, La, Cr, Mo, W, Fe, Ru, Os, Co, Rh, Ir, Ni, Pd, Pt, Cu, Ag, Au, Zn, Cd or Hg;

R<sup>1</sup> are, identically or differently on each occurrence, H or an aliphatic or aromatic hydrocarbon radical having 1 to 20 C atoms;

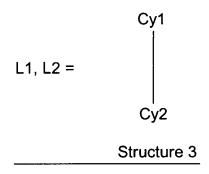
and the symbol A = F, Cl, Br, I, OH, formate, acetate, propionate, benzoate, nitrate or sulfate, and L' is a monodentate ligand and n = 1, 2 or 3 and q = 0, 1, 2 or 3.

- 22. (Currently amended) Compounds according to one or more of Claims 1 to 19 claim 1, characterised in that their purity (determined by <sup>1</sup>H-NMR and/or HPLC) is greater than 99%.
- 23. (Currently amended) Conjugated, partially conjugated and/or non-conjugated polymers or dendrimers containing one or more compounds of structure 1



characterised in that they contain a metal Met, coordinated to a tetradentate chelating ligand Lig of structure 2

where V is a bridging unit, characterised in that it contains 1 to 40 atoms from the third, fourth, fifth and/or sixth main group and connects the two ligand moieties L1 and L2, which may be identical or different on each occurrence, covalently to one another, and where the two ligand moieties L1 and L2 satisfy structure 3



where Cy1 and Cy2, identically or differently on each occurrence, correspond to a substituted or unsubstituted, saturated, unsaturated or aromatic homo- or heterocyclic ring, which is in each case bonded ionically, covalently or coordinatively to the metal via a ring atom or via an atom bonded exocyclically to the homo- or heterocyclic ring;

and where L3, identically or differently on each occurrence, is a mono- or bidentate, neutral or monoanionic ligand, and where a is 0, 1 or 2,

or compounds (1) to (12)

$$\begin{array}{c} Z \\ X = X \\ X =$$

#### wherein

is, identically or differently on each occurrence, H, F, Cl, Br, I, NO<sub>2</sub>, CN, a straight-chain, branched or cyclic alkyl or alkoxy group having 1 to 20 C atoms, where one or more non-adjacent CH<sub>2</sub> groups may be replaced by -R<sup>1</sup>C=CR<sup>1</sup>-, -C=C-, Si(R<sup>1</sup>)<sub>2</sub>, Ge(R<sup>1</sup>)<sub>2</sub>, Sn(R<sup>1</sup>)<sub>2</sub>, C=O, C=S, C=Se, C=NR<sup>1</sup>, -O-, -S-, -NR<sup>1</sup>- or -CONR<sup>1</sup>- and where one or more H atoms may be replaced by F, or an aryl, aryloxy or heteroaryl group having 1 to 14 C atoms, which may be substituted by one or more non-aromatic radicals R, where a plurality of substituents R may in turn define a further mono- or polycyclic, aliphatic or aromatic ring system;

R<sup>1</sup>, R<sup>2</sup> are, identically or differently on each occurrence, H or an aliphatic or aromatic hydrocarbon radical having 1 to 20 C atoms;

M is Be, Mg, Ca, Sr, Ba, Al, Ga, In, Tl, Sc, Y, La, Cr, Mo, W, Fe, Ru, Os, Co, Rh, Ir, Ni, Pd, Pt, Cu, Ag, Au, Zn, Cd or Hg;

L is, identically or differently on each occurrence, C, N or P;

Q is, identically or differently on each occurrence, N, O, S, Se or Te;

T is, identically or differently on each occurrence, N or P;

X is, identically or differently on each occurrence, CR, N or P;

Y is, identically or differently on each occurrence, NR<sup>1</sup>, O, S, Se, Te, SO, SeO, TeO, SO<sub>2</sub>, SeO<sub>2</sub> or TeO<sub>2</sub>;

Z has the same meaning as described for V in Claim 5; and

c is, identically or differently on each occurrence, 0 or 1;

or compounds (13) to (30) according to claim 8 one or more of Claims 1 to 19.

24. (Currently amended) Polymers or dendrimers according to Claim 23, characterised in that at least one radical R defined in Claim 5 represents a bond to the polymer or dendrimer.

Docket No.: 14113-00003-US

- 25. (Currently amended) Polymers according to Claim 23 and/or 24 claim 24, characterised in that the polymer is selected from the group of the polyfluorenes, polyspirobifluorenes, polypara-phenylenes, polydihydrophenanthrenes, polyindenofluorenes, polycarbazoles, polythiophenes, polyketones, polyvinylcarbazoles or from copolymers which have a plurality of the units mentioned here.
- 26. (Currently amended) Electronic An electronic device comprising at least one compound, a polymer, a copolymer or a dendrimer according to claim 23one or more of Claims 1 to 19 and 22 to 25.
- 27. (Original) Electronic device according to Claim 26, characterised in that it is an organic light-emitting diode (OLED), an organic integrated circuit (O-IC), an organic field-effect transistor (OFET), an organic thin-film transistor (OTFT), an organic solar cell (O-SC) or an organic laser diode (O-laser).
- 28. (New) An electronic device comprising at least one compound according to claim 1.